### MIXED CONVECTION HEAT TRANSFER OF SIO<sub>2</sub>-WATER AND ALUMINA-PAO NANO-LUBRICANTS USED IN A MECHANICAL BALL BEARING

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### ABSTRACT

In this study, the mixed convection heat transfer in a mechanical ball bearing filled with nano-lubricants were investigated theoretically. In our case, the bearing including eight balls revolving in counter clockwise while the inner shaft rotates in clockwise direction and the inner and outer walls of bearing were kept at constant hot and cold temperatures, respectively. Two kinds of nano-lubricants SiO<sub>2</sub>-water and Alumina- Polyalphaolefin (PAO) with different shapes of nanoparticles were considered. The governing equations including velocity, pressure, and temperature formulation were solved based on the Galerkin finite element method. The governing parameters such as nanoparticle volume fraction, Reynolds and Rayleigh numbers, etc., were discussed. It turns out that the average Nusselt number increases by increasing the nanoparticle volume fraction (averagely 15% for each 0.02 increase) and the oil-based nano-lubricant has greater Nusselt number than the water based one. More importantly, the Nono-rod Alumina was found to show much greater heat transfer performance (averagely 5%) than the spherical alumina nanoparticles and nano-rod Alumina-PAO has the best performance and maximum Nusselt numbers for the heat transfer.

### Keywords: Nanofluids, Nano-lubricant, Alumina-PAO, SiO<sub>2</sub>-water, Ball bearing, Mixed-convection

### INTRODUCTION

Since Choi [1] put forward the concept of "nanofluid" for the first time in 1995, it has been playing an indispensable role in widely engaged Engineering, Physics, Chemical Engineering and Materials Science fields. Nanofluids are conventionally used for heat transfer or cooling system to replace the single-phase fluids [2]. While after many research developments in fundamental and theory, nanofluids have been extended to solar energy harvesting, medicine-drag delivery, CO<sub>2</sub> absorption, microelectronics, lubrication of components, oil exploitation, porous media, aerospace and etc. [3-7].

As a basic property, thermal characteristics of nanofluids have been intensively studied in the past decades because of its ability to enhance thermal properties [8]. Besides, optical properties of nanofluids have also attracted much attention, especially in solar energy conversion [9, 10]. Thus, the flow and thermal transport characteristics of the nanofluids through porous media and under the solar radiation field has become a fascinating research topic in recent years. In order to understand the conversion mechanism of the transportation as much as possible, many numerical methods have been developed. Sheikholeslami [11] put forward a new method on magneto-hydro-dynamics (MHD) Al2O3-water nanofluid transportation inside a permeable medium through Control Volume Finite Element Method (CVFEM) and Darcy model, the shape factor and Brownian motion effect were included in nanofluid modeling for the first time. Haq et al. [12] studied on a natural convection phenomenon in a porous cavity under the Lorentz forces with curved boundary domain which showed that Darcy and Hartmann number t have insignificant effects on the temperature distribution. Some of the recent studies are focused on the optimization of the nanofluid heat transfer

This paper was recommended for publication in revised form by Regional Editor Younes MENNI

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Manuscript Received 07 February 2020, Accepted 07 March 2020

[13-20]. In all of these studies, one or some main parameters are optimized to find the best value to have an efficient system in those applications.



Figure 1. A mechanical ball bearing and its simple schematic

As mentioned above, a new application of nanofluids is in lubrication processes such as rolling process and ball bearings. As for nanofluids rheological property in applications, Afrand et al. [21] developed an optimal artificial neural network to predict the correlation of the nano-lubricant, which can be more accurate. Also, Asadi et al. [22] illustrated two new highly precise correlations for predicting dynamic viscosity and thermal conductivity of the nanooil. Hemmat Esfea et al. [23] investigated the rheological behavior of nanolubricants for using in the automobile cooling applications. Other applications of nano-lubricant from the energy view points and reducing costs are presented by Ahmed Ali et al. [24-25]. Furthermore, Sharif et al. [26] used SiO<sub>2</sub> PAG nanolubricant in the ventilation system of an automobile. Another application of nano-lubricant is in cooling for the rolling procedure or machining process which mostly water-based nanofluid is used [27-28]. Obviously, desired thermal distribution and enhanced heat transfer are crucial in these processes. Ali Farooq et al. [29] and Salah et al. [30] presented the governing equations of nanofluid flow (in mixed and natural convection, respectively) in an enclosure. Some researchers are performed on the  $SiO_2$ -nanofluids and presented the new correlations for its thermal properties [31-35] as well as the polyalphaolefin's studies [36-41] which in the mathematical section they are reviewed in details. Recently, Hafiz Muhammad Ali et al. [42-44] developed and reported excellent outcomes on the nanofluids heat transfer, numerically and experimentally in different flow regimes. Also, they [45-48] studied the applications of hybrid nanofluids in solar energy and other heat transfer claims. One of the most important topics in this field is the magnetic effect on the nanofluid behaviors which is widely investigated by the Chamkha et al. [49-61] in different geometries. Also, they [62-78] investigated the other important parameters such as sinusoidal heating, porous media, wall thickness, entropy generation, hybrid nanofluids, flexible membrane, etc. on the nanofluids heat transfers. Furthermore, recent researchers [79-88] reviewed the nanofluids behavior in porous media, complex geometries, solar collectors, different channels and in different base fluids which is summarized efficiently for the researchers.

In this study, it is aimed to find the mixed heat transfer treatment of two kinds (water and oil based) of nanolubricant used in a ball bearing. Also, the effect of nanoparticles shape on the heat transfer will be investigated to find the best nano-lubricant from the heat transfer view point for this interesting application.

Properties	Unit	Water	PAO	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>
Heat capacitance	$Jkg^{-1} \cdot K^{-1}$	4179	2303	765	765
Density	kg·m−3	997.1	798	3970	2200
Thermal conductivity	$Wm^{-1} \cdot K^{-1}$	0.613	0.143	40	1.4
Thermal expansion	K <sup>-1</sup>	$2.1 \times 10^{-4}$	3.5×10 <sup>-4</sup>	$0.85 \times 10^{-5}$	$0.56 \times 10^{-6}$
coefficient					
Dynamic viscosity	Ns⋅m <sup>-2</sup>	0.001003	7.34	-	-

Table 1. Thermal properties of base fluid(water) and nanoparticles [35, 41]

Density (kg/m <sup>3</sup> )	Dynamic viscosity (Ns/m <sup>2</sup> )	Thermal conductivity (W/m.K)	Specific heat (J/kg.K)
1094.344	0.004795	0.643072	3622.483

Table 2. Thermo-physical properties of SiO<sub>2</sub>-water nanofluid with dp=20nm and  $\varphi$ =0.08

### **PROBLEM DESCRIPTION**

As shown in Fig. 1, a mechanical ball bearing is considered with eight heated balls. It is assumed that the space between the balls is filled with nano-lubricants such as SiO<sub>2</sub>-water and alumina-PAO nano-oil. The outer wall of bearing is kept at constant  $T_c$ , while the inner wall, due to shaft rotation, is in  $T_h$  high temperature. As seen in Fig. 2, detailed boundary conditions are presented while the balls temperature is  $2T_h$  and they rotate in counter-clockwise while the inner shaft rotates in clock-wise directions. Tables 1 and 2 present the thermal properties of applied nano-lubricant. It is tried to find the mixed heat transfer of these nano-lubricants, so the following non-dimensional parameters should be defined to change the dimensional governing equations [29-30]:



Figure 2. a) Boundary conditions and, b) boundary layer mesh generation

$$X = \frac{x}{L}, Y = \frac{y}{L}, U = \frac{u}{u_b}, V = \frac{v}{u_b}, P = \frac{p}{\rho_f u_b^2}$$

$$\theta = \frac{T - T_c}{\Delta T}, Ra = \frac{g\beta_f (\nabla T)L^3}{v_f^2}, Pr = \frac{v_f}{\alpha_f}, Re = \frac{u_b L}{v_f}$$
(1)

The 2D mixed convection flow in the problem using conservation of mass, momentum, and energy can be written as the following dimensionless form [29-30]

$$\frac{\partial U}{\partial X} + \frac{\partial V}{\partial Y} = 0 \tag{2}$$

$$U\frac{\partial U}{\partial X} + V\frac{\partial U}{\partial Y} = -\frac{\partial P}{\partial X} + \frac{1}{Re\frac{\nu_{nf}}{\nu_f}\left(\frac{\partial^2 U}{\partial X^2} + \frac{\partial^2 U}{\partial Y^2}\right)}$$
(3)

$$U\frac{\partial V}{\partial X} + V\frac{\partial V}{\partial Y} = -\frac{\partial P}{\partial Y} + \frac{1}{\operatorname{Re}}\frac{V_{nf}}{V_f}\left(\frac{\partial^2 V}{\partial X^2} + \frac{\partial^2 V}{\partial Y^2}\right) + \frac{\beta_{nf}}{\beta_f}\frac{Ra}{\operatorname{Pr}\operatorname{Re}^2}\theta$$
(4)

$$U\frac{\partial\theta}{\partial X} + V\frac{\partial\theta}{\partial Y} = \frac{1}{\operatorname{Re}\operatorname{Pr}}\frac{\alpha_{nf}}{\alpha_{f}}\left(\frac{\partial^{2}\theta}{\partial X^{2}} + \frac{\partial^{2}\theta}{\partial Y^{2}}\right)$$
(5)

The equations of thermal properties of two different considered nano-lubricants are determined as the following sections based on the literature.



Figure 3. The code validation for the Re=25, Ra=10000,  $\varphi$ =0.06

Grid size	Number of elements	Nu <sub>1</sub>	Nu <sub>2</sub>	CPU time (s)
G1	956	37.102	6.24	3
G2	1019	43.118	5.54	3
G3	1214	40.722	4.38	3
<b>G4</b>	2064	38.449	3.68	2
G5	2138	36.008	4.10	3
<b>G6</b>	2756	41.256	4.46	3
<b>G</b> 7	7684	35.769	3.69	4
<b>G</b> 8	25026	35.571	3.64	8

Table 3. Mesh independent study for SiO<sub>2</sub>-water at Re=25, Ra=10000 and  $\varphi$ =0.08

Table 4. Comparison of Nu1 for different nano-lubricants in various Rayleigh numbers

Ra	SiO <sub>2</sub> -water	Spherical Alumina-	Nano-rod
		IAU	Alumna-1AO
1000	34.463	333.13	356.58
3000	41.046	333.27	352.34
5000	42.440	334.18	363.92
8000	37.295	341.42	349.60
10000	41.314	427.06	344.94

Table 5. Comparison of Nu<sub>2</sub> for different nano-lubricants in various Rayleigh numbers

Ra	SiO <sub>2</sub> -water	Spherical Alumina-	Nano-rod
		PAO	Alumina-PAO
1000	4.4576	26.707	28.462
3000	4.8838	26.705	27.882
5000	5.6251	26.709	28.358
8000	3.4864	27.058	28.402
10000	5.3407	32.438	27.549

### SIO<sub>2</sub> Water Based Nano-Lubricant

Since the lubricating mechanism of nanoparticles is complicated and is related to SiO2 suitable characteristics such as rolling friction mechanism, thin film lubrication mechanism, boundary lubrication layers and etc., it is thus used in hot rolling lubrication machinery [31-32]. Ajeel et al. [33] used the following relations for the SiO2-water thermal properties as summarized in Table 1&2.

The nanofluid density and its heat capacity can be calculated as follows [33]:

$$\rho_{nf} = (1 - \varphi)\rho_f + \varphi \rho_{np} \tag{6}$$

$$\left(\rho C_p\right)_{nf} = (1-\varphi)\left(\rho C_p\right)_f + \varphi\left(\rho C_p\right)_{np} \tag{7}$$

To compute the effective thermal conductivity, the empirical correlation has been adopted which takes into account the influence of Brownian motion as shown below [33]

$$k_{eff} = k_{static} + k_{Brownian} \tag{8}$$

$$k_{static} = k_f \left[ \frac{(k_{np} + 2k_f) - 2\varphi(k_f - k_{np})}{(k_{np} + 2k_f) + \varphi(k_f - k_{np})} \right]$$
<sup>(9)</sup>

$$k_{Brownian} = 5 \times 10^4 \beta \varphi \rho_f C_{pf} \sqrt{\frac{KT}{2}} f(T,\varphi)$$
<sup>(10)</sup>

where K is the Boltzmann constant and,

$$f(T,\varphi) = \left(2.8217 \times 10^{-2} \varphi + 3.917 \times 10^{-3}\right) \left(\frac{T}{T_0}\right)$$
(11)  
+  $\left(-3.0669 \times 10^{-2} \varphi - 3.391123 \times 10^{-3}\right)$ 

Also,  $\beta$  for the SiO<sub>2</sub> nanoparticles is presented as [33]

$$\beta = 1.9526(100\varphi)^{-1.4594} \tag{12}$$

The effective dynamic viscosity of nanofluid is given as [34]

$$\mu_{eff} = \mu_f \left( \frac{1 \times \varphi^{-1.03}}{1 - 34.87 \left( \frac{d_p}{d_f} \right)^{-0.3}} \right)$$
(13)

where, equivalent diameter of based molecule is,

$$d_f = \left[\frac{6M}{N\pi\rho_{f0}}\right] 1/3 \tag{14}$$

While Jumpholkul et al. [35] used the following relations from the literature for their SiO<sub>2</sub>-water modeling:

$$\frac{\mu_{nf}}{\mu_{bf}} = \left(1 + \frac{\varphi}{100}\right)^{11.3} \left(1 + \frac{T_{nf}}{70}\right)^{-0.038} \left(1 + \frac{d_p}{170}\right)^{-0.061}$$
(15)

$$\frac{k_{nf}}{k_{bf}} = 0.8938 \left(1 + \frac{\varphi}{100}\right)^{1.38} \left(1 + \frac{T_{nf}}{70}\right)^{0.2777} \left(1 + \frac{d_p}{150}\right)^{-0.0336} \left(\frac{\alpha_p}{\alpha_{bf}}\right)^{0.01737}$$
(16)



**Figure 4.** Temperature contours for SiO<sub>2</sub>-water nano-lubricant when Re=25, φ=0.08 and different Ra numbers, a) 1000, b) 3000, c) 5000, d) 8000 and e) 10000

### Alumina-PAO Based Nano-Lubricant

Polyalphaolefin (PAO) is the most common major synthetic base oil used in industrial and automotive lubricants. PAO has more Newtonian treatment than other oil lubricants [36]. So, based on this assumption, Hajmohammadi [37] applied alumina-PAO as a nano-lubricant in a rotary system such as between two cylinders. The following correlations were used for its thermal properties [38]



Figure 5. Streamlines for SiO<sub>2</sub>-water nano-lubricant when Re=25,  $\varphi$ =0.08 and different Ra numbers

$$\mu_{nf} = \left[ \left( 1 - 0.3023T + 0.0051T^2 - 0.24T^3 + 0.1615T^4 \right) \times \frac{e^{\frac{2.2585}{(T+1)^{3.923}}} - 0.7}{e^{2.2585} - 0.7} \right] \frac{1}{\left( 1 - \varphi \right)^{2.5}}$$
(17)

$$k_{nf} = (1 - 0.126T) \left[ 1 + 0.135 \times \left( \frac{k_n}{1 - 0.126T} \right)^{0.273} (1 + 14.65T)^{0.547} \left( \frac{100}{d_p} \right)^{0.234} \varphi^{0.467} \right]$$
(18)

A short review of Alumina- polyalphaolefin thermal properties is performed by Yu et al. [39]

$$\rho_{nf} = (1 - \varphi)\rho_f + \varphi\rho_{np} \tag{19}$$

$$\left(\rho \mathcal{C}_p\right)_{nf} = (1-\varphi)\left(\rho \mathcal{C}_p\right)_f + \varphi\left(\rho \mathcal{C}_p\right)_{np}$$
<sup>(20)</sup>

$$\mu_r = \frac{\mu}{\mu_f} = 1 + 13.67\varphi + 185.42\varphi^2 \qquad \text{for Spherical nanoparticles}$$
(21)

$$\mu_r = 1 + 27.29\varphi + 296.92\varphi^2 \qquad \text{for Nano-rods nanoparticles} \tag{22}$$

For solid-liquid mixtures, the relative thermal conductivity can be estimated by the Hamilton-Crosser model [40]

$$k_{r} = \frac{k}{k_{f}} = \frac{k_{p} + (n-1)k_{f} - (n-1)(k_{f} - k_{p})\varphi}{k_{p} + (n-1)k_{f} + (k_{f} - k_{p})\varphi},$$
<sup>(23)</sup>

where the shape factor is  $n = 3/\psi$ , and  $\psi$  is the sphericity defined as the ratio of the surface area of a sphere (with the same volume as the given particle) to the surface area of the particle. For spherical particles,  $\psi = 1$ . Yu et al. [39] proposed that:

$$k_r = 1 + 7.6661\varphi$$
 For spherical nanoparticles (24)  
 $k_r = 1 + 9.4539\varphi$  For nano-rods nanoparticles (25)

Table 2 demonstrate the PAO and alumina nanoparticles thermal properties, separately. The local Nusselt numbers along with the bearing inner and outer walls can be calculated by,

$$Nu = \left(\frac{k_{nf}}{k_f}\right) \frac{\partial \theta}{\partial r}$$
(26)

where r is the radial direction. The average Nusselt numbers on the bearing outer and inner walls are named as Nu<sub>1</sub> and Nu<sub>2</sub>, respectively as:

$$Nu_{1,2} = \frac{1}{2\pi} \int_0^{2\pi} Nu(\theta) \ d\theta$$
 (27)



**Figure 6.** Local Nusselt numbers of bearings a) outer walls for SiO<sub>2</sub>-water nano-lubricant and b) inner walls for SiO<sub>2</sub>-water nano-lubricant when Re=25 and  $\varphi$ =0.08 in different Rayleigh numbers

Re	SiO <sub>2</sub> -water	Spherical Alumina- PAO	Nano-rod Alumina-PAO
25	37.357	333.12	344.18
50	39.751	331.31	430.62
100	48.169	322.77	338.66
200	61.294	314.23	325.25
500	91.696	300.74	307.74

Table 6. Comparison of Nu1 for different nano-lubricants in various Reynolds numbers

Table 7. Comparison of Nu<sub>2</sub> for different nano-lubricants in various Reynolds numbers

Re	SiO <sub>2</sub> -water	Spherical Alumina- PAO	Nano-rod Alumina-PAO
25	3.5193	26.717	27.552
50	3.2134	26.857	32.882
100	3.3607	26.775	28.309
200	5.5428	25.993	27.271
500	11.208	27.297	26.904

### **METHODOLOGY OF SOLUTION**

In this study, the governing equations beside the boundary conditions are analysed numerically by Galerkin weighted residual along with finite element methods. The finite element analysis of the momentum equations (3) and (4) is showing by the following procedure:

Firstly, we employ the penalty finite element method by eliminating the pressure (*P*) with a penalty parameter ( $\lambda$ ) as the following:

$$P = -\lambda \left(\frac{\partial U}{\partial x} + \frac{\partial V}{\partial Y}\right) \tag{28}$$

Leads to the following momentum equations:

$$U\frac{\partial U}{\partial X} + V\frac{\partial U}{\partial Y} = \frac{\partial \lambda}{\partial X} \left(\frac{\partial U}{\partial X} + \frac{\partial V}{\partial Y}\right) + \frac{1}{Re} \frac{v_{nf}}{v_f} \left(\frac{\partial^2 U}{\partial X^2} + \frac{\partial^2 U}{\partial Y^2}\right),$$

$$U\frac{\partial V}{\partial X} + V\frac{\partial V}{\partial Y} = \frac{\partial \lambda}{\partial Y} \left(\frac{\partial U}{\partial X} + \frac{\partial V}{\partial Y}\right) + \frac{1}{Re} \frac{v_{nf}}{v_f} \left(\frac{\partial^2 V}{\partial X^2} + \frac{\partial^2 V}{\partial Y^2}\right) + \frac{\beta_{nf}}{\beta_f} \frac{Ra}{\Pr \operatorname{Re}^2} \theta.$$
(29)

Table 8. Comparison of Nu1 for different nano-lubricants in nanoparticles volume fractions

φ	Spherical Alumina-	Nano-rod
	PAO	Alumina-PAO
0.02	333.19	344.18
0.04	378.57	405.20
0.06	409.67	537.79
0.08	435.03	483.34

φ	Spherical Alumina-PAO	Nano-rod Alumina-PAO
0.02	26.701	27.552
0.04	30.258	32.602
0.06	36.657	43.307
0.08	47.417	44.356

Table 9. Comparison of Nu<sub>2</sub> for different nano-lubricants in nanoparticles volume fractions



Figure 7. Temperature contours for SiO<sub>2</sub>-water nano-lubricant when Ra=10000,  $\varphi$ =0.08 and different Re numbers, a) 25, b) 50, c) 100, d) 200 and e) 500

The weak (or weighted-integral) formulation of the momentum equations by multiplying the equation by an internal domain ( $\Phi$ ) and integrating it over the computational domain which is discretised into small triangular elements as shown in Fig. 2. The following weak formulations are obtained:



Figure. 8 Streamlines for SiO<sub>2</sub>-water nano-lubricant when Ra=10000, φ=0.08 and different Re numbers, a) 50, b) 100, c) 200 and d) 500

$$\int_{\Omega} \left( \Phi_{i} U^{k} \frac{\partial U^{k}}{\partial X} + \Phi_{i} V^{k} \frac{\partial U^{k}}{\partial Y} \right) dXdY = \lambda \int_{\Omega} \frac{\partial \Phi_{i}}{\partial X} \left( \frac{\partial U^{k}}{\partial X} + \frac{\partial V^{k}}{\partial Y} \right) dXdY 
+ \frac{1}{Re} \frac{v_{nf}}{v_{f}} \int_{\Omega} \Phi_{i} \left( \frac{\partial^{2} U^{k}}{\partial X^{2}} + \frac{\partial^{2} U^{k}}{\partial Y^{2}} \right) dXdY,$$

$$\int_{\Omega} \left( \Phi_{i} V^{k} \frac{\partial V^{k}}{\partial X} + \Phi_{i} V^{k} \frac{\partial V^{k}}{\partial Y} \right) dXdY = \lambda \int_{\Omega} \frac{\partial \Phi_{i}}{\partial Y} \left( \frac{\partial U^{k}}{\partial X} + \frac{\partial V^{k}}{\partial Y} \right) dXdY 
+ \frac{1}{Re} \frac{v_{nf}}{v_{f}} \int_{\Omega} \Phi_{i} \left( \frac{\partial^{2} V^{k}}{\partial X^{2}} + \frac{\partial^{2} V^{k}}{\partial Y^{2}} \right) dXdY + \frac{\beta_{nf}}{\beta_{f}} \frac{Ra}{\Pr \operatorname{Re}^{2}} \int_{\Omega} \Phi_{i} \theta^{k} dXdY.$$
(30)

Selection of the interpolation functions for providing an approximation for the velocity distribution and temperature distribution as:

$$U \approx \sum_{j=1}^{m} U_{j} \Phi_{j}(X,Y), \quad V \approx \sum_{j=1}^{m} V_{j} \Phi_{j}(X,Y), \quad \theta \approx \sum_{j=1}^{m} \theta_{j} \Phi_{j}(X,Y).$$
(31)

The nonlinear residual equations for the momentum equations that obtained from the Galerkin weighted residual finiteelement method are:

$$R(1)_{i} = \sum_{j=1}^{m} U_{j} \int_{\Omega} \left[ \left( \sum_{j=1}^{m} U_{j} \Phi_{j} \right) \frac{\partial \Phi_{j}}{\partial X} + \left( \sum_{j=1}^{m} V_{j} \Phi_{j} \right) \frac{\partial \Phi_{j}}{\partial Y} \right] \Phi_{i} dX dY$$

$$+ \lambda \left[ \sum_{j=1}^{m} U_{j} \int_{\Omega} \frac{\partial \Phi_{i}}{\partial X} \frac{\partial \Phi_{j}}{\partial X} dX dY + \sum_{j=1}^{m} V_{j} \int_{\Omega} \frac{\partial \Phi_{i}}{\partial X} \frac{\partial \Phi_{j}}{\partial Y} dX dY \right]$$

$$+ \frac{1}{Re} \frac{v_{nf}}{v_{f}} \sum_{j=1}^{m} U_{j} \int_{\Omega} \left[ \frac{\partial \Phi_{i}}{\partial X} \frac{\partial \Phi_{j}}{\partial X} + \frac{\partial \Phi_{i}}{\partial Y} \frac{\partial \Phi_{j}}{\partial Y} \right] dX dY,$$

$$R(2)_{i} = \sum_{j=1}^{m} V_{j} \int_{\Omega} \left[ \left( \sum_{j=1}^{m} U_{j} \Phi_{j} \right) \frac{\partial \Phi_{j}}{\partial X} + \left( \sum_{j=1}^{m} V_{j} \Phi_{j} \right) \frac{\partial \Phi_{j}}{\partial Y} \right] \Phi_{i} dX dY$$

$$+ \lambda \left[ \sum_{j=1}^{m} U_{j} \int_{\Omega} \frac{\partial \Phi_{i}}{\partial Y} \frac{\partial \Phi_{j}}{\partial X} dX dY + \sum_{j=1}^{m} V_{j} \int_{\Omega} \frac{\partial \Phi_{j}}{\partial Y} \frac{\partial \Phi_{j}}{\partial Y} dX dY \right]$$

$$+ \frac{1}{Re} \frac{v_{nf}}{v_{f}} \sum_{j=1}^{m} V_{j} \int_{\Omega} \left[ \frac{\partial \Phi_{i}}{\partial X} \frac{\partial \Phi_{j}}{\partial X} + \frac{\partial \Phi_{i}}{\partial Y} \frac{\partial \Phi_{j}}{\partial Y} \right] dX dY + \frac{\beta_{nf}}{\beta_{f}} \frac{Ra}{Pr \operatorname{Re}^{2}} \int_{\Omega} \left( \sum_{j=1}^{m} \theta_{j} \Phi_{j} \right) \Phi_{i} dX dY,$$

$$(33)$$

where the superscript k is the approximate index, subscripts i, j and m are the residual number, node number and iteration number, respectively. To simplify the nonlinear terms in the momentum equations, a Newton-Raphson iteration algorithm was used. The iteration of the present study is assumed to be convergence solution when the corresponding error of each variable is equal or less than  $10^{-5}$ .

#### **RESULTS AND DISCUSSION VALIDATION STUDY**

To validate the current FEM code based on Galerkin weighted residual method, the initial code results is compared to Farooq et al. [29] outcomes as depicted in Fig. 3. As seen the temperature contours values and shapes are exactly the same and the maximum value of temperature in both figures are approximately 1.1 which confirms the accuracy and validity of current code.



Figure 9. Local Nusselt numbers of bearings a) outer walls for SiO<sub>2</sub>-water nano-lubricant and b) inner walls for SiO<sub>2</sub>-water nano-lubricant when Ra=10000 and  $\varphi$ =0.08 in different Reynolds numbers

### Mesh Independency

As depicted in Fig. 2, mesh generation on the geometry is made using triangles while over the three boundaries (outer, inner and balls walls) boundary mesh layer is applied for better accuracy which are in quad shapes. In this section, eight grid sensitivity tests were conducted to determine the sufficiency of the mesh scheme and ensure that the

results are grid independent as depicted in Table 3 for SiO2-water at Re=25, Ra=10000 and  $\varphi$ =0.08. As seen for both Nu1 and Nu2 results, the G7 grid is the most suitable grid size from both accuracy and time computation study.

### SIO<sub>2</sub>-Water Nano-Lubricant

As mentioned in introduction section, water-based nano-lubricant is more often used for the rolling machinery for cooling and lubricating purposes. In this section, it is tried to see its performance in ball bearing lubricant application and compare the results with the oil-based nano-lubrcant which is presented in next section. Figs. 4-9 are depicted for the SiO<sub>2</sub>-water nano-lubricant with  $\varphi$ =0.08 to show the effect of Reynolds and Rayleigh numbers on the results. Fig. 4 and Fig. 5 demonstrate the effect of Ra on the temperature and stream lines contours, respectively. As seen for the Ra=8000 case, due to natural convection effect, the temperatures value between the balls is greater than the other cases, while for the streamline, due to low velocity of Re=25, there is not a significant change between the graphs and just one case is presented as sample case. The effects of the Ra numbers on the local  $Nu_1$  and  $Nu_2$  (for outer and inner walls, respectively) are depicted in Fig. 6. For both walls, Ra=10000 has the maximum values of Nusselt numbers and also have the maximum range of variations, while the Ra=1000 has the minimum values of variations which is approximately 1 on the inner wall length. Figs. 7 & 8 show the effect of Reynolds numbers on the temperature and streamline contours, respectively when Ra=10000,  $\varphi$ =0.08. As seen for the Re=500, the maximum temperatures between the balls occurs. Therefore, this case is considered to have more heat transfer performance than the others. This fact is depicted in Fig. 9 for the local Nusselt numbers. The maximum local Nusselt numbers (for both walls) occurs for the Re=500 and maximum variation for the outer wall occurs for this case while for the inner case maximum variation of Nusselt numbers happens for the Re=25. These variations have a significant effect on the average Nusselt numbers which will be fully discussed in section 4.5.

### Alumina-PAO Nano-Lubricant

In this section the effect of alumina-PAO nano-lubricant on the mixed heat transfer mechanism is investigated through Figs. 10-19 for two kinds of nanoparticle shapes, i.e., spherical and nano-rods. Figs. 10-15 show the effect of spherical alumina nanoparticles on the results. Fig. 10 presents the Ra effects on the temperature and streamline contours. By increasing the Ra, the temperature distribution in the domain is much greater due to better heat transfer through the natural convection mechanism. However, in the stream lines there is not a significant difference due to high viscosity of PAO and low Reynolds numbers (Re=25). Based on this definitions Fig. 11 confirms that Ra=10000 has the maximum values of local Nusselt numbers for the both walls under study. Fig. 12 shows that by increasing the Re number (against the Ra increasing) the streamline contours varies significantly and vortexes between the balls deformed from symmetry (for Re=25) to asymmetry (for Re=500) due to nano-lubricant flow in higher Re numbers. This effect of Re numbers on the local Nusselt numbers are depicted via Fig. 13 which confirms that Re=500 has the maximum values and variations of Nusselt numbers. To see the effect of spherical nanoparticles volume fractions on the heat transfer mechanism, Figs. 14 & 15 are depicted for Ra=10000, Re=25. It is completely clear that increasing the nanoparticles volume fraction make an enhancement in the heat transfer and improvements in local Nusselt numbers, consequently.

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Figure 10. Temperature contours and streamlines for spherical Alumina-PAO nano-lubricant for  $\varphi$ =0.02, Re=25 and different Ra numbers, a) 3000, b) 5000, c) 8000 and d) 10000



**Figure 11.** a) Local Nusselt numbers of bearings outer walls for spherical Alumina-PAO nanolubricant and b) Local Nusselt numbers of bearings inner walls for spherical Alumina-PAO nanolubricant when Re=25 and φ=0.02 and different Rayleigh numbers

Figures 16-19 are presented for the nano-rods alumina-PAO nano-lubricant results. In this case, as seen in Fig. 16, Ra=1000 and 3000 has greater maximum values of local Nusselt number of outer wall while for the inner wall maximum values occurs for the Ra=5000. When Ra becomes=10000, it has the minimum peak values in Nusselt numbers. The results could be attributed to the difference between viscosity and thermal conductivity of these two nanoparticle shapes as presented in section 2.2. Based on defined equations alumina nano-rods has greater viscosity and thermal conductivity than spherical nanoparticles. Figs. 17 and 18 shows the effect of Re number on the outcomes Re=50 has the maximum values of Nusselt numbers as seen in the graphs. Finally, the effect of nanoparticles volume fraction on the local Nusselt numbers is depicted in Fig. 19. Increasing this parameter in nano-rods makes an improvement in Nusselt numbers as well as the spherical nanoparticles treatments.

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**Figure 12.** Streamlines for spherical Alumina-PAO nano-lubricant when Ra=10000, φ=0.02 and different Re numbers, a) 50, b)100, c) 200, d) 500



Figure 13. a) Local Nusselt numbers of bearings outer and b) inner walls for spherical Alumina-PAO nano-lubricant when Ra=10000 and  $\varphi$ =0.02 and different Reynolds numbers

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**Figure 14.** Temperature contours and streamlines for spherical Alumina-PAO nano-lubricant for Ra=10000, Re=25 and different nanoparticles volume fractions, a) 0.02, b) 0.04, c)0.06, d) 0.08



Figure 15. a) Local Nusselt numbers of bearings outer and b) Local Nusselt numbers of bearings inner walls for spherical Alumina-PAO nano-lubricant when Ra=10000 and Re=25 and different nanoparticles volume fraction

### **Average Nusselt Numbers**

To have a comparison between three described nano-lubricants, the average Nusselt numbers (Nu1 and Nu2) are presented in Tables 4-9 to also show the effect of Rayleigh, Reynolds and nanoparticles volume fractions on the average Nusselt numbers. From these figures it can be visible that water-based nano-lubricant has the lowest Nusselt numbers, while the Nano-rod alumina-PAO has the maximum values for the Nusselt numbers and can be introduced as the most efficient nano-lubricant in this application. Table 4 and 5 reveal that in most cases increasing the Rayleigh number makes and increase in Nusselt number due to more natural convection heat transfer while Tables 6 and 7 exhibits that Reynolds increments have different treatments for water based and oil-based nano-lubricants which increase the Nu for the water based and decrease it for the oil-based nano-lubricants, averagely. As the last parameter

study, Tables 8 and 9 show the effect of nanoparticles volume fraction on the Nusselt numbers. It is evident that greater values lead to higher thermal conductivity and consequently increase the Nusselt numbers.



Figure 16. a) Local Nusselt numbers of bearings outer and b) Local Nusselt numbers of bearings inner walls for Nano-rods Alumina-PAO nano-lubricant when Re=25 and  $\phi$ =0.02 and different Rayleigh numbers



Ra=10000, φ=0.02 and different Reynolds numbers a) 25, b) 50, c)100, d) 500



Figure 18. a) Local Nusselt numbers of bearings outer and a) Local Nusselt numbers of bearings inner walls for nano-rods Alumina-PAO nano-lubricant when Ra=10000 and  $\varphi$ =0.02 and different Reynolds numbers



Figure 19. a) Local Nusselt numbers of bearings outer and b) Local Nusselt numbers of bearings inner walls for nano-rods Alumina-PAO nano-lubricant when Ra=10000 and Re=25 and different nanoparticles volume fraction

### CONCLUSION

In this paper, the mixed convection inside a mechanical ball bearing with the outer cold fixed wall and inner hot rotating wall and treated with nano-lubricants (SiO2-water and Alumina-PAO) was studied numerically using COMSOL Multiphysics code built on a finite element method. The influence of Rayleigh number, Reynolds number, nanoparticles volume fraction, and shapes of nanoparticles on the heat transfer mechanism is investigated and it is found that Rayleigh number increament enhances the heat transfer process as well as the nanoparticles volume fraction, averagely, while the Reynolds increasing has different treatments. Also, the Nono-rod Alumina was found to show much greater heat transfer performance than the spherical alumina nano-particles. It was recommended that nano-rod Alumina-PAO has the best performance and maximum Nusselt numbers for the heat transfer in these applications.

### ACKNOWLEDGEMENTS

The authors gratefully acknowledge the general financial grant from the National Natural Science Foundation of China (No.51776165), China Postdoctoral Science Foundation (No. 2017M610638) and Shaanxi Provincial Postdoctoral Funds (2017BSHYDZZ16).

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### NOMENCLATURE

Ср	specific heat at constant pressure, kJ/kg·K
d	diameter
g	gravitational acceleration, m/s <sup>2</sup>
Κ	Boltzmann constant
k	thermal conductivity, W/m·K
Nu <sub>ave</sub>	average Nusselt number of the hot inner cylinder
Nuloc	local Nusselt number around hot inner cylinder
Р	dimensionless pressure
р	Pressure, Pa
Pr	Prandtl number, vf /αf
Ra	Rayleigh number
Re	Reynolds number
Т	Temperature, K
T <sub>c</sub>	temperature of the cold surface, K
$T_h$	temperature of the hot surface, K
U	dimensionless velocity component in x - direction
u	velocity component in x - direction, m/s
V	dimensionless velocity component in y - direction
v	velocity component in y - direction, m/s
Х	dimensionless coordinate in horizontal direction
х	Cartesian coordinates in horizontal direction, m
Y	dimensionless coordinate in vertical direction
у	Cartesian coordinate in vertical direction, m

Greek symbols

θ	dimensionless temperature (T-T <sub>c</sub> / $\Delta$ T)
ψ	dimensional stream function (m <sup>2</sup> /s)
Ψ	dimensionless stream function
μ	dynamic viscosity (kg.s/m)
Φ	Internal domain
φ	Nanoparticles concentration
λ	Penalty parameter
ν	kinematic viscosity $(\mu/\rho)$ (Pa.s)
$\Delta T$	temperature difference
β	volumetric coefficient of thermal expansion, K <sup>-1</sup>
ρ	density, kg/m <sup>3</sup>
ω	angular velocity
Subscripts	
с	Cold
f	Fluid (pure)

р	Nanoparticle
eff	effective
nf	Nanofluid
r	relative
0	outer
i	inner

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